

A member of PhillipCapital
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Co. Reg. No. 1975010352 GST Reg. No. M2-0021956-2



POEMS APPLICATION FORM

| *Type of Account: ☐ Individual ☐ Joint ☐ Corporate | | | | Existing Trading Account No. & TR code: | | |
|---|---------|--|--|---|-----------------|--|
| * Compulsory Fields | | | / | | | |
| MAIN APPLICANT'S PARTICULARS: | | | | | | |
| *Account Applicant's/Company's Name: | | | *Joint Applicant's Name (if any): | | | |
| *NRIC / Passport No/ ROC No. (for Corporate a/c only): | | | *NRIC / Passport No/ ROC No. (for Corporate a/c only): | | | |
| *Contact No. : | | | *Email Address : | | | |
| *To apply for POEMS acces Please select an option | s, | | | | | |
| r lease select an option | | POEMS (with trading facilities) POEMS (View only) / Without trading facilities | | | | |
| | OR | | | | | |
| *To change existing POEMS trading access (please tick) Change from : POEMS (Trading) to POEMS (View only) POEMS (View only) to POEMS (Trading) POEMS (View only) to No POEMS access POEMS (Trading) to No POEMS access | | | | | | |
| APPLICANT'S DECLARA | TION | | | | | |
| I confirm that I have received, read and fully understood: | | | | | | |
| Trading Facilities and Electronic Trading PSPL's trading facilities are supported by computer-based component systems for the order-routing, execution, matching, registration or clearing of trades. As with all facilities and computer systems, customers will be exposed to risks associated with the systems including the failure of hardware and software. The result of any system failure may be that the Customer's order is either not executed according to instructions or is not executed at all. The Customer should also be aware that the Internet is not a completely reliable transmission medium and there may be delays in service provisions. | | | | | | |
| *Main Applicant's Si | re/Date | *Joint Applicant's Signature/Date | | | | |
| At least 2 | author | ized signatories for Corpo | rate Account (Plea | se affix Company Stamp) | | |
| 1) Authorized Personnel's Name :_ | | | 2) Authorized Pe | sonnel's Name : | | |
| Position :_ | | | Position | : | | |
| FOR OFFICIAL USE ONLY | | | | | | |
| *Form Received/Checked by: | _ | | | *Date: | | |
| *Client's Signature Verified by : | _ | | | *Date: | | |
| *Delinquent Checked by APU : | _ | *Date | : | □ No record □ Reinstate | ed □ Delinquent | |
| *TR Signature/Email Approval : | _ | | | *Date: | | |
| *Approval or rejection for client a *Justification by TR for trading a | | • | | MS View only □ POEM by BU HOD : | · · | |
| *POEMS Activated by APU : | _ | | | *Date: | | |