

Select one option:

- ☐ Transfer into Phillip Securities (PSPL) from external
*Use this [CDP form](#) to transfer from CDP
- ☐ Transfer between accounts in Phillip Securities
- ☐ Transfer out from Phillip Securities to external

Select one option:

- ☐ No change of beneficial ownership
- ☐ Immediate family transfer (supporting document/s required)
- ☐ Joint Account Transfer (Foreign shares/bond within PSPL accounts only)
- ☐ Change of beneficial ownership (subject to conditions)

1 ACCOUNT HOLDER DETAILS (as per PSPL records) mandatory

PSPL Account Number	Name of Account Holder

2 DETAILS OF SECURITIES mandatory

Name of Securities (in full)	Market [^]	ISIN / Ticker	Quantity

[^]Indicate the Market that the securities are listed i.e. SG, HK, JP, AU, US, UK, MY etc. For entire portfolio transfer, you may indicate "ALL" under "Name of Securities" and submit a copy of your latest statement with a list of counters to be transferred.

3 INTERNAL TRANSFER

To:	PSPL Account No.	PSPL Account Name

4 COUNTERPARTY DETAILS (FOR EXTERNAL TRANSFER ONLY) mandatory

Name of Counterparty (indicate CDP for shares held with CDP):	Account No. (As per Counterparty Records) / CDP Account number:
Name of Account Holder (as per Counterparty Records):	Name of Contact Person (only if counterparty is not CDP, otherwise indicate N/A):
Transfer out reason (if any):	Contact Details (only if counterparty is not CDP, otherwise indicate N/A):
	Email:

5 TRANSFER GUIDELINES

- Please refer to the following website for the applicable transfer fees and please ensure there are sufficient funds in your Account for the transfer request to take effect.
<https://www.poems.com.sg/share-bond-unit-trust-transfer/#local>
- Kindly complete this Securities and Bonds Transfer Form and send the duly completed form to custodytransfer@phillip.com.sg using client's registered email address with PSPL.
- For transfer from CDP, clients are requested to submit the wet-ink scanned copy, along with necessary supporting documents such as the NRIC, via client's registered email to their respective TR.

6 DECLARATION

- I/We declare that all the particulars and information given above is true and I/we have not withheld any information which may be material in the context of this application.
- I/We agree to give instruction to the counterparty for securities to be received or delivered.
- I/We agree to provide additional information which includes CDD questionnaire for large size transfer in request such as quantity > 1m and/or market value > SGD300k.
- I/We are aware that the external transfer process may take up to 4-5 working days once the instructions are matched by the delivering and receiving firm.
- I/We are aware that the internal transfer may take 3-4 market days upon receipt of instructions.
- I/We agree that any missing information or non-completed instructions may delay the transfer process.
- I/We agree to the charges, if any, outlined in the Transfer Fee in section 5.

Signature of Account Holder / Name / Date

Signature of Joint Account Holder (if any) / Name / Date

7 FOR OFFICIAL USE ONLY

Date of Receipt: _____

Processed by: _____

Signature / Name / Date

Checked by: _____

Signature / Name / Date