

INFORMATION SHEET

MANAGED ACCOUNT SERVICES



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Managed Account Services

Managed Account Services (“MA”) are individually managed investment portfolios that are beneficially owned by the individual investor and managed on a discretionary basis. In managing the client’s funds, the portfolio manager has the full discretion to buy and sell stocks, bonds, unit trusts, exchange-traded funds or other financial instruments according to the investment mandate of the MA service.

A Client Suitability Assessment will be performed to understand your risk profile, investment objectives and time horizon to determine your investment profile and then matching it to the MA Services.

Minimum initial investment is from S\$10,000 cash, SRS, non-CPF UTs or non-CPF Securities. We offer customized portfolio that allows clients to tailor their portfolio to fit their investment goals - starting from S\$250,000.

Information Sheets

The table below shows the range of services we offer.

	COUNTRY	REGIONAL	GLOBAL
INCOME			• Returns Enhancer (p.k.a Bonds Solutions)
INCOME & GROWTH	• Singapore Equity Yield • Singapore Income	• Asian Opportunities	• Global Funds
GROWTH	• Singapore Growth • Malaysia Focused • Thai Focused • HK/China Focused		• 3 Country Equity • Gold & Resources

Different minimum investment applies. For details, please refer to respective [Strategy Information Sheets](#).

Custody of Shares

All shares deposited or transacted through this account will be held in custody with PSPL in trust. Corporate actions, such as dividends, rights subscription will be processed in this account. Accounts with multi-currency facility will receive the cash dividends in declared currency unless otherwise determined by the issuer/PSPL.

Monthly Statements and Online Access

Monthly statements will either be mailed or sent electronically to you. Online access will also be given to view your portfolio holdings via [FAME](#). Your UserID and password are mailed to you separately once your account is opened.

Fund deposits/Payment modes

(I) Cheques

Cheques should be crossed and made payable to “**Phillip Securities Pte Ltd.**” Please state your trading account number and name on the back of the cheque. If payment is in USD or MYR, cheques must be drawn in Singapore and Malaysia respectively. HKD, JPY, AUD, GBP, EUR, CNY and CAD payment can be made through telegraphic transfer (TT) ONLY. Bank charges are to be borne by you.

Cheques can either be mailed to your respective Representative or presented at our Head Office’s cashier counter.

(II) Cash

Cash payments in SGD can be made at our Head Office’s cashier counter. Cash payments in any other currency except SGD are not accepted.

(III) Telegraphic Transfer (TT)

You can make payment by Telegraphic Transfer (TT) if your funds are currently deposited with an overseas bank. TT fund transfers are allowed for SGD, USD, HKD, JPY, MYR, AUD, GBP, EUR, CAD & CNY.

Do note that the banks may levy bank charges for each TT fund transfer made, please check with your bank for the respective charges. Phillip Securities does not charge any fees for incoming TT fund transfers.

You will need to provide your bank with our bank account details for Telegraphic Transfer. Please refer to the following web link for our bank account details:

www.poems.com.sg -> FAQs -> Payment & Settlement
-> How do I pay via Telegraphic Transfer?

Please state your **MA account number** and **account name** in the TT payment instruction.

*Please refer to Note 1 for more details.

Partial Withdrawal of Funds

You can request for partial withdrawal of funds by submitting a MA Transaction form. For customer protection, cheque payment will be crossed and issued according to account name only. We do not support payment in the form of cash or payment to another person other than the MA account holder.

Schedule of Charges

Upfront Fee	Up to 3% of invested amount
Management Fees	Up to 1.50% p.a. based on portfolio values
Performance Fee	10% of the net asset value in excess of prevailing high water mark

For details on fees and charges of each individual services, please refer to our [Strategy Information Sheets](#)

Corporate Action Handling Fee	
Cash Dividend	1% on net dividend subject to min S\$1.07 capped at S\$53.50 + Foreign fees and taxes (if applicable)
Other than the above	S\$10.70 + Foreign fees and taxes (if applicable)

Note:

1. For payment via Telegraphic Transfer (TT), you are strongly advised to state your PSPL Managed Account Service Account Number and Account Name clearly in your TT payment instruction. In the event that PSPL is unable to identify the account for the amount to be credited, PSPL may reject the amount transmitted after reasonable endeavours have been made to trace the source of the deposit. Any bank charges incurred due to this event will be borne by you. PSPL will not be held responsible for any loss, charge or damage arising from the rejected fund or delay in crediting the amount into the account.

Important Information

This publication is provided to you for general information only and does not constitute a recommendation or an offer or solicitation to buy or sell any investment product or enter into any transaction or discretionary managed account agreement. It does not have any regard to your specific investment objectives, financial situation and any of your particular needs.

Investments in discretionary managed accounts managed by Phillip Securities Pte Ltd ("PSPL") are designed to produce returns over the medium to long term and are not suitable for short-term speculation. **There can be no assurance that investment objectives will be achieved. The value of investments, and the income accruing, may fall as well as rise and investors may not get back their original principal amount invested. Past performance of the manager, any investment and company, any prediction, projection or forecast on the economy, stock market, bond market or the economic trends of the markets referred to in this publication are not necessarily indicative of future performance.**

Investments in PSPL discretionary managed accounts carry risks, including but not limited to market, liquidity, credit, interest rate, derivative, counterparty, foreign exchange risks, political risks, and the risk of vesting discretionary trading power in PSPL for trading and managing for you a portfolio of securities and derivatives thereof.

The information provided herein is based on certain information, conditions and/or assumptions available as at the date of this publication and may contain optimistic statements regarding future events or future financial performance of countries, markets or companies. Any opinion or view herein is made on a general basis. The information in this publication may be obtained, provided or compiled from public and/or third party sources ("the information") which PSPL has no reason to believe are unreliable. PSPL does not warrant the accuracy and completeness of the information used in this publication. The information and any opinion or view provided in this publication may be subject to change at any time without notice. You must make your own financial assessment of the relevance, accuracy and adequacy of the information provided in this publication.

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Investments in the discretionary managed account(s) mentioned herein are not obligations of, deposits in, or guaranteed by PSPL or any of its affiliates.

You should assess and consider whether the discretionary managed account(s) is/are suitable for you before proceeding to invest. You may wish to consult professional advisers before investing. Our representatives appointed under the Financial Advisers Act ("FAA") may be authorised to engage in non-FAA activities of marketing, client acquisition and client servicing of managed accounts services. Our representatives who are appointed under the Securities and Futures Act to conduct fund management activity ("FM Reps"), i.e. the Portfolio/Fund Managers, will be managing clients' money and investments, in addition to marketing, client acquisition and client servicing of managed accounts services.

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