



SECURITIES BORROWING AND LENDING (SBL) APPLICATION FORM

SBL ACCT No : _____

*Compulsory Fields

CLIENT PARTICULARS	
*Name as in NRIC / Passport : Dr/Mr/Mdm/Ms	*Existing Trading A/c No. & TR Code
*NRIC/Passport No. :	*Gender : <input type="checkbox"/> Male <input type="checkbox"/> Female
*Residential Address :	Contact No. *Home : Office : Mobile Phone :
*Postal Code :	
<u>Acknowledgement and agreement</u>	
<p>1 I confirm that I have read, understood and accepted the following (hereafter collectively referred to as the "Agreement"):</p> <ul style="list-style-type: none"> (i) the terms of the Securities Borrowing and Lending ("SBL") Account as spelt out in the Securities Borrowing and Lending Services Information Sheets and Section 6 of Part B of the Conditions Governing Phillip Securities Accounts ("Conditions"); (ii) the terms for the provision of custody services with respect to custody of my securities and/or other capital markets products as set out in Section 5 of Part B of the Conditions; and (iii) the terms for on-lending of my securities and/or other capital markets products as set out in Section 3 clause 12 and Section 5 clause 16 of Part B of the Conditions. <p>2 In consideration of Phillip Securities Pte Ltd ("PSPL") accepting my application for and providing for me an SBL Account, I hereby consent and agree to be bound by the terms of the Agreement currently in effect and as amended from time to time.</p> <p>3 I understand that when I borrow securities and/or other capital markets products from PSPL, I will be required to deposit a required level of collateral and I may be called upon at short notice to place additional deposits if the level of collateral is inadequate in relation to the market value of borrowed products. If the required deposit is not made within the prescribed time, I understand that PSPL may buy-back the borrowed securities and other products without prior notice to me. In addition, I also acknowledge that for any and all collateral I provide will be title-transferred to PSPL as provided in Section 6 Part B of the Conditions and I will have no proprietary right to such collateral as against PSPL but only an unsecured debt obligation against PSPL for the value of the collateral following the title transfer.</p> <p>4 I further understand and acknowledge that when I lend securities and/or other capital markets products to PSPL, I temporarily lose legal ownership rights to the products lent but have the right to claim equivalent products. In so far as I receive manufactured dividends, I understand that I may be required to treat the entire amount as income for tax purposes.</p> <p>5 I acknowledge and confirm my consent to PSPL's right to lend or on-lend securities and/or other capital markets products that are custodised with or within the possession/control of PSPL, whether in my SBL account or any other accounts I may have with PSPL. My consent includes the right on identical terms to arrange for a custodian to effect such lending or on-lending for the benefit of PSPL as if PSPL had effected such lending or on-lending.</p>	
*Client's Signature : _____	*Trading Rep's Signature : _____ (Assigned Borrowing Limit: _____)

FOR OFFICIAL USE ONLY

*Signature Verified By : _____ *Date: _____

*Checked By : _____ *Date: _____

*Delinquent Checked By : _____ *Date : _____ No Record Reinstated Delinquent

*Approved By : _____ *Date: _____

*Data Keyed By : _____ *Date: _____

*Data Keyed Checked By : _____ *Date: _____