

## PhillipCapital Stocks and Funds Auction Game Terms and Conditions

1. Winner is allocated to one stock/fund per NRIC/Passport/FIN number.
2. To be eligible for the prize, winner must have a Phillip Investment Account - Prepaid. Stock/Fund must be credited to Phillip Investment Account – Prepaid upon successful account opening.
3. Winner must furnish relevant documents to facilitate account opening by 26<sup>th</sup> August 2018.
4. Winner must credit their fund by 29<sup>th</sup> August 2018, 23:59 hrs.
5. All trades must be placed by 31<sup>st</sup> August 2018, or it will be forfeited.
6. All trades must be confirmed by winners via a phone call before trade execution.
7. The following are NOT eligible for this auction:
  - a) Phillip Securities Pte Ltd (PSPL) institutional and corporate customers.
  - b) All employees of PSPL/Phillip Futures Pte Ltd (PFPL) and its associated entities (e.g. trading representatives, financial advisors); PSPL and all its subsidiaries (direct or indirect); and their immediate families (e.g. spouse and children) are not eligible for this promotion.
  - c) Customers who have joint accounts and corporate account holders.
8. Should there be any adjustments made for corporate action that may happen before trade execution, the decision of PSPL on all matters relating to the auction is final.
9. Should there be an increase of 10% or more in the market value of the stock/fund before trade execution, PSPL reserves the right to terminate any part of the auction and/or transaction process.
10. Notwithstanding anything herein contained, PSPL reserves the right at any time in its absolute discretion to (i) amend, add and/or delete at any time of these Terms and Conditions without prior notification (including eligibility and qualifying terms and criteria), and all participants shall be bound by such amendments, additions and/or deletions when effected, or (ii) vary, withdraw, or cancel any items or the promotion without having to disclose a reason and without any compensation or payment whatsoever. PSPL's decision on all matters relating to the promotion shall be final and binding on all.
11. By taking part in this promotion, the participant acknowledges that he/she has read and consented to these Terms and Conditions
12. Prizes are not transferrable and/or exchangeable for cash or any other items and/or terms.
13. By participating in this auction, participants are deemed to have agreed for us to collect, use, disclose and/or process all personal data submitted for marketing purposes unless a subsequent withdrawal of consent is submitted via email.
14. PSPL reserves the right to change any of the prize allocation mechanics without giving prior notice or reason.
15. PSPL makes no representation or warranty to the quality of the prize and assumes no liability or responsibility for any defects or non-performance of the prize.
16. PSPL and all its subsidiaries are not responsible for any prize losses or claims caused by error in entry of personal particulars.
17. PSPL has the absolute decision at any time to determine the eligibility of any client and shall not be obliged to provide any reason in rejecting participation. The decision of PSPL on all matters relating to the auction is final.
18. PSPL may in its sole and absolute discretion, substitute any prize with another prize of similar value without prior notice.
19. PSPL reserves the right to disclose and publish the name and any other particulars of the winners (including photographs) for publicity purposes and the winners agree to cooperate and participate in the activities organised by PSPL for these purposes.
20. PSPL reserves the right to withdraw, discontinue or terminate the contest at any time at its absolute discretion, without notice or liability to any person(s).