PhillipCapital
Your Partner In Finance

Securities and Bonds Transfer Form

Select one option:
- ✔ Transfer into Phillip Securities (PSPL) from external
  *****Use this CDP form to transfer from CDP
- □ Transfer between accounts in Phillip Securities
- □ Transfer out from Phillip Securities to external

Select one option:
- ✔ No change of beneficial ownership
- □ Immediate family transfer (supporting document/s required)
- □ Joint Account Transfer (Foreign shares/bond within PSPL accounts only)
- □ Change of beneficial ownership (subject to conditions)

1 ACCOUNT HOLDER DETAILS (as per PSPL records) mandatory

PSPL Account Number	Name of Account Holder

2 DETAILS OF SECURITIES mandatory

Name of Securities (in full)	Market^	ISIN / Ticker	Quantity

^ *Indicate the Market that the securities are listed i.e. SG, HK, JP, AU, US, UK,MY etc.*

3 INTERNAL TRANSFER

	PSPL Account No.	PSPL Account Name
To:		

4 COUNTERPARTY DETAILS (FOR EXTERNAL TRANSFER ONLY) mandatory

Name of Counterparty (*indicate CDP for shares held with CDP*):	Account No. *(As per Counterparty Records)* / CDP Account number:
TD AMERITRADE	Client's 9-digit TD Ameritrade Singapore Account number starting with 220
Name of Account Holder *(as per Counterparty Records)*:	Name of Contact Person *(only if counterparty is not CDP, otherwise indicate N/A)*:
	TD Ameritrade SG Funding
Transfer out reason (*if any*):	Contact Details *(only if counterparty is not CDP, otherwise indicate N/A)*:
	Email: funding@tdameritrade.com.sg

5 TRANSFER GUIDELINES

1. *Please refer to the following website for the applicable transfer fees and please ensure there are sufficient funds in your Account for the transfer request to take effect.* https://www.poems.com.sg/share-bond-unit-trust-transfer/#local
2. *Kindly complete this Securities and Bonds Transfer Form and send the duly completed form to custodytransfer@phillip.com.sg using client's registered email address with PSPL.*
3. *For transfer from CDP, the duly completed CDP form must be signed with wet-ink and original form with copy of NRIC of the account holder(s) to be submitted to "Phillip Securities Pte. Ltd, 250 North Bridge Road #06-00 Raffles City Tower Singapore 179101 Attn to: Margin Department.*

6 DECLARATION

1. I/We declare that all the particulars and information given above is true and I/we have not withheld any information which may be material in the context of this application.
2. I/We agree to give instruction to the counterparty for securities to be received or delivered.
3. I/We agree to provide additional information which includes CDD questionnaire for large size transfer in request such as quantity >1m and/or market value > SGD300k.
4. I/We are aware that the external transfer process may take up to 4-5 working days once the instructions are matched by the delivering and receiving firm.
5. I/We are aware that the internal transfer may take 3-4 market days upon receipt of instructions.
6. I/We agree that any missing information or non-completed instructions may delay the transfer process.
7. I/We agree to the charges, if any, outlined in the Transfer Fee in section 5.

_____/_____/_____
Signature of Account Holder / Name / Date

_____/_____/_____
Signature of Joint Account Holder *(if any)* /Name / Date

7 FOR OFFICIAL USE ONLY

Date of Receipt: _____

Processed by _____/_____/_____
Signature /Name / Date

Checked by: _____/_____/_____
Signature /Name / Date